

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10791222
Filing Date		2004-03-01
First Named Inventor	Geoffrey H. Outhred	
Art Unit	2142	
Examiner Name	ANDREW T CALDWELL	
Attorney Docket Number	MS1-2019US	

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	4	6701363		2004-03-02	Chiu, ; et al.	
	5	6912568		2005-06-28	Nishiki, ; et al.	
	6	6915338		2005-07-05	Hunt, ; et al.	
	7	6944183		2005-09-13	Iyer, ; et al.	
	8	7003562		2006-02-21	Mayer	

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9	7035786		2006-04-25	Abu El Ata, ; et al.	
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	3	20030225563	A1	2003-12-04	Gonos, Dan G.	
	4	20050091227	A1	2005-04-28	McCollum, Raymond W.; et al.	
	5	20050097146	A1	2005-05-05	Konstantinou, Alexander V.; et al.	
	6	20050138416	A1	2005-06-23	Qian, Tin; et al.	
	7	20050152270	A1	2005-07-14	Gomez Paredes, Gerardo; et al.	
	8	20050257244	A1	2005-11-17	Joly, Pascal; et al.	

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9	20060025984	A1	2006-02-02	Papaefstathiou; Efsthios; et al.	
10	20060025985	A1	2006-02-02	Vinberg; Anders B.; et al.	
11	20060161884	A1	2006-07-20	Lubrecht; Michael D.; et al.	
12	20060235664	A1	2006-10-19	Vinberg; Anders B.; et al.	
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1	Chen, et al., "Performance Prediction of Component-based Applications", Journal of Systems and Software, Volume 74 , Issue 1, January 2005, pp 1-12.	<input type="checkbox"/>
2	Dekhil, et al., "Generalized Policy Model for Application and Service Management", Hewlett-Packard Laboratories, 1999, 3 pages.	<input type="checkbox"/>
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6	"Integrated Security Management", OpenPMF (Policy Management Framework), retrieved as early as 23 Apr 2007 from << <a href="http://www.objectsecurity.com/doc/openpmf-A4.pdf">http://www.objectsecurity.com/doc/openpmf-A4.pdf</a> >>, 2 pages.	<input type="checkbox"/>
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8	Mahon, "OpenView PolicyXpert: Heterogeneous Configuration and Control", OpenView Policy-Based Network Management, February 1999, pp 1-4	<input type="checkbox"/>
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**EXAMINER SIGNATURE**

Examiner Signature	/Kevin Bates/	Date Considered	06/13/2008
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- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Elliott Y. Chen, Reg, No. 58,293/	Date (YYYY-MM-DD)	2007-04-25
Name/Print	Elliott Y. Chen	Registration Number	58293

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